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THE PERFORMANCE OF A SIMULATED CONVOLUTIONAL DECODER ON MEASURED HF AND TROPOSPHERIC DATA CHANNELS

JANUARY 1968

K. Brayer

Prepared for

### AEROSPACE INSTRUMENTATION PROGRAM OFFICE

ELECTRONIC SYSTEMS DIVISION
AIR FORCE SYSTEMS COMMAND
UNITED STATES AIR FORCE
L. G. Hanscom Field, Bedford, Massachusetts



Project 705B
Prepared by
THE MITRE CORPORATION
Bedford, Massachusetts
Contract AF19(628)-5165

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### FOREWORD

This report was prepared by the Communications Techniques
Department of The MITRE Corporation, Bedford, Massachusetts, under
Contract AF 19(628)-5165. The work was directed by the Development
Engineering Division under the Aerospace Instrumentation Program Office,
Air Force Electronic Systems Division, Laurence G. Hanscom Field,
Bedford, Massachusetts. Robert E. Forney served as the Air Force
Project Engineer for this program, identifiable as ESD (ESSID) Project
5932, Range Digital Data Transmission Improvement.

# REVIEW AND APPROVAL

This technical report has been reviewed and is approved.

Clement V. Horrigan DOTIS R. HILL, COlongl, USAF

Director of Aerospace Instrumentation

Program Office

### ABSTRACT

A Massey diffuse convolutional decoder was simulated in the IBM 7030 computer and used to decode binary digital error patterns measured at 2400 bits/sec on an HF radio circuit and on a multiple link data circuit dominated by a tropospheric scatter path. It was found that the simulation performed at least as well as previous cyclic code simulations on HF radio and better than cyclic codes on the tropospheric path.

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#### SECTION I

#### INTRODUCTION

The wide-scale application of high-speed digital computers for information processing in data management and process control systems has resulted in an increased demand for digital communications. This demand has been met by the development of digital data transmission devices for the existing analog communications plant.

Due to the inherent redundancy of most analog communication, the quality of the transmission media is not particularly critical to the attainment of satisfactory information exchange. However, in the case of digital communication an unacceptable occurrence of errors may be introduced in the transmission process if suitable redundancy is not incorporated into the transmitted data stream. The attainment of acceptable digital error performance using cable, microwave and communication satellite links has been achieved primarily by careful circuit engineering with provision of adequate signal to noise margins. On media such as high-frequency radio and troposcatter the same quality of link engineering cannot always be achieved. Consequently, the incorporation of error control has been of particular interest with emphasis on applications to digital data transmission on poor quality links. This error control is effected through the addition of controlled redundancy to the transmitted data stream in the form of the binary digital coding technique which permits the

detection and/or correction of errors by the error control device installed at the receive terminal.

Among the coding techniques that have been developed for error control purposes is the Massey diffuse convolutional code [1]. In this paper, the results of a computer simulation of the performance capabilities of this coding technique against measured real channel error patterns is investigated. The use of a valid computer simulation permits a controlled (repeatable) investigation of the performance of error control in a manner which would not be possible in field environment tests.

## The Massey Diffuse Code

The Massey diffuse code [1] evaluated in this paper is a 1/2 rate code (i.e. the code supplies one redundant bit per source information bit.) The encoder accepts from the source information bits (i) at a clock interval (t). Since the error data is for 2400 bit/sec channels and the code rate is 1/2, the clock interval (t) corresponds to 1200 bits/sec. Redundant (parity) bits are calculated according to the relationship:

$$P_{t} = i_{t} + i_{t-x} + i_{t-2x} + i_{t-(3x+1)}$$
 (1)

where i represents an information bit

p represents a parity bit

x is some specific number of clock intervals and addition is mod 2 with no carry.

The pair of bits  $p_t$  and  $i_t$  are then transmitted over the channel.

The received bits (both information and parity) are entered into the decoder (Fig. 1). In the decoder syndromes (S) are formed in accordance with the following relations:

$$S_{1} = ei_{1} + ep_{1}$$

$$S_{x+1} = ei_{1} + ei_{x+1} + ep_{x+1}$$

$$S_{2x+1} + S_{3x+1} = ei_{1} + ei_{3x+1} + ep_{2x+1} + ep_{3x+2}$$

$$S_{3x+2} = ei_{1} + ei_{x+2} + ei_{2x+2} + ei_{3x+2} + ep_{3x+2}$$
where  $ei_{t}$  or  $ep_{t} = \begin{cases} 1 & \text{if the bit was in error} \\ 0 & \text{otherwise} \end{cases}$ 

The fact that the error bits are used is seen by examining the decoder structure and equation (1). If equation (1) is satisfied, the output of the initial information and parity addition will be zero. The decoding rule is to change i<sub>1</sub> if the sum of equations (2) is greater than 2. This criterion will be correct if not more than two of the eleven bits in equations (2) are in error.

The encoder when supplied with an information message of all binary 0's will calculate the parity to be all binary 0's. This allows for the simplification of the simulation in that if all zero information is encoded and the bit-by-bit channel errors are added to the encoder output mod 2 with no carry, the result is the error patterns. Thus, it is only necessary to provide the error patterns as input to a simulated decoder and record the errors which are output

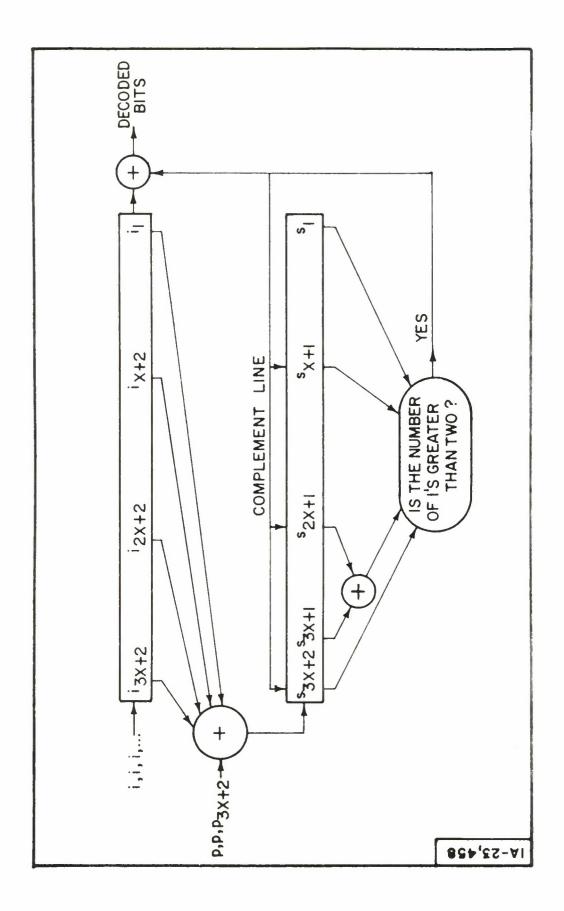


Figure 1 Massey Diffuse Convolutional Decoder

from the decoder.

The decoder while correcting errors also introduces delay into the communication system to which it is applied. This delay is a function of the parameter x (identified as B in the simulation program) and is presented in Table I.

## Description of the Data

The error data, have been described in detail previously [2, 3, 4 ] and will be briefly reviewed here. In October of 1965, field tests were conducted on NRD HF circuits between Antigua and Ascension Islands to collect HF digital error patterns [2, 3]. Tests were conducted for 10 minute periods (runs) at 2400 bits/sec using a Kineplex TE-216 phase-shift-keyed 16 tone modem. The procedure was to transmit a 52 bit test message from Antigua to Ascension and back to Antigua on a looped basis. At Antigua the received message was compared with a suitably delayed replica of the 52-bit test message, and bits which were not in agreement were declared in error. The results were recorded on magnetic tape and converted to IBM seventrack format magnetic tape for use in the IBM 7030 computer. The other data was collected [4] in the spring of 1966 on a link (Fig. 2) characterized as having three types of transmission media: wireline, microwave and troposcatter. The troposcatter is multiple-hop, and the wireline consists of the interconnection of numerous leased telephone wirelines. The dominant sub-path is a troposcatter hop of 583 miles. During the test Sebit 24B vestigial sideband AM modems

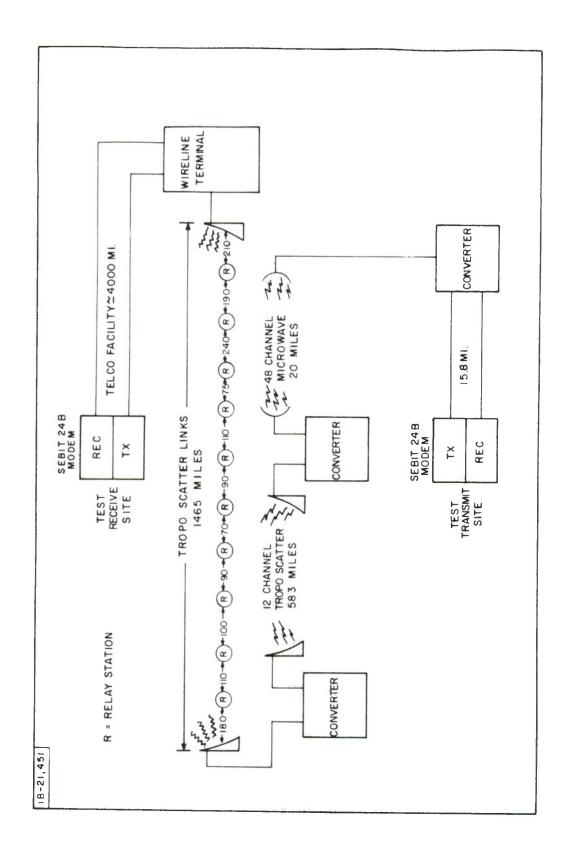


Figure 2 Full Duplex Communication Circuit Configuration

Table I

# DELAY DUE TO DECODING

<u>B=X</u>	Delay (Sec.)
6	.015
140	.350
144	. 360
284	.710
288	.720
572	1.43
576	1.44
1196	2.99
1200	3.00

were used at 2400 bits/sec in a 4 KHz channel which was FM multiplexed with other channels for transmission on the dominant sub-path. The test procedure was the same as for the HF tests except that the transmission was on a one way basis and runs were 90 minutes long.

Analysis of the HF data [2, 3] indicates that errors occur in bursts and are in no way random. A typical burst is from two to four thousand bits long and has an error density of 5%. Bursts are separated by intervals which have a low error density and vary in length from one-tenth the length of the burst they follow to one-million times the burst length. Additionally, periodic errors of spacing 32 bits occur due to tone interference on individual tones of the TE-216 modem. The data collected on the multiple-hop path consisted of bursts that varied in length from a few hundred bits to tens of thousands of bits where for the most part the density of errors was 75%. The intervals between bursts were error-free and varied from one-tenth the length of the burst they followed to one million times the length.

# SECTION II SIMULATION RESULTS

Each of the HF channel test runs listed in Table II was processed through the computer simulation of the Massey diffuse decoder. The output error patterns were recorded on magnetic tape for further statistical processing. The gross results of performance on the HF data will be presented in terms of output average error rate versus B in Table II and as improvement factor in Figures 3 through 7.

where Improvement Factor (F) =  $\frac{\text{Channel Average Error Rate (P_e)}}{\text{Output Info. Average Error Rate (P_c)}}$  (3)

Values of  $P_e$  and  $P_c$  are presented in Fortran floating point notation. The decoder gives from one to three orders of magnitude improvement with 100% error correction on numerous runs. Further, the improvement increases with B (i.e. as delay is increased performance increases). The values of B were selected to give a range of delay and were adjusted such that bits on the same tone were not encoded together. This eliminates the possibility that the periodic errors will affect an information bit and all of its associated parity bits in the channel and thereby cause decoding errors. In no case was the output average error rate  $(P_c)$  greater than the channel average error rate  $(P_e)$ . The decoder did not degrade the communication channel.

The results for the tropospheric data are presented in Table III and Figures 8 through 12. Since this data was collected on a channel

Table II

OUTPUT ERROR RATE AS A FUNCTION OF B IN THE HF CHANNEL

	Input Error						
Run #	Rate	B=6	B=140	B=284	B=572	B=1196	
333	3. 5E-5	0	0	0	0	0	
324	7.6E-5	0	0	0	0	0	
291	7.8E-5	0	0	0	0	0	
318	1.2E-4	2.3E-5	0	0	0	0	
321	1.3E-4	0	0	0	0	0	
345	6.0E-4	1.5E-4	1.4E-5	1.7E-5	6.5E-6	1.0E-5	
354	6. 1E-4	7.7E-5	8.9E-6	1.1E-5	8.9E-6	6.0E-6	
248	6.2E-4	1.7E-4	0	0	0	0	
258	7.6E-4	1.OE-4	6. 5E-5	7.7E-5	7.3E-5	5-1E-5	
315	1.7E-3	3.45E-4	2.4E-4	2.2E-5	9.6E-5	5.7E-5	
342	2.8E-3	1.0E-4	4.6E-5	4.6E-5	4.2E-5	7.1E-5	
264	2.9E-3	3.0E-4	1.4E-4	1.7E-4	4.0E-5	2.5E-5	
309	4.3E-3	1.8E-4	9.5E-5	7.2E-5	6.8E-5	2.2E-5	
261	9.2E-3	2.1E-3	1.6E-3	1.5E-3	1.5E-3	8.6E-4	
312	9.3E-3	2.2E-3	9.6E-4	1.0E-3	9.6E-4	6.7E-4	
249	1.0E-2	1.2E-3	9.5E-4	9.0E-4	1.0E-3	9.3E-4	
339	1.2E-2	2.7E-3	1.4E-3	1.3E-3	1.2E-3	1.1E-3	

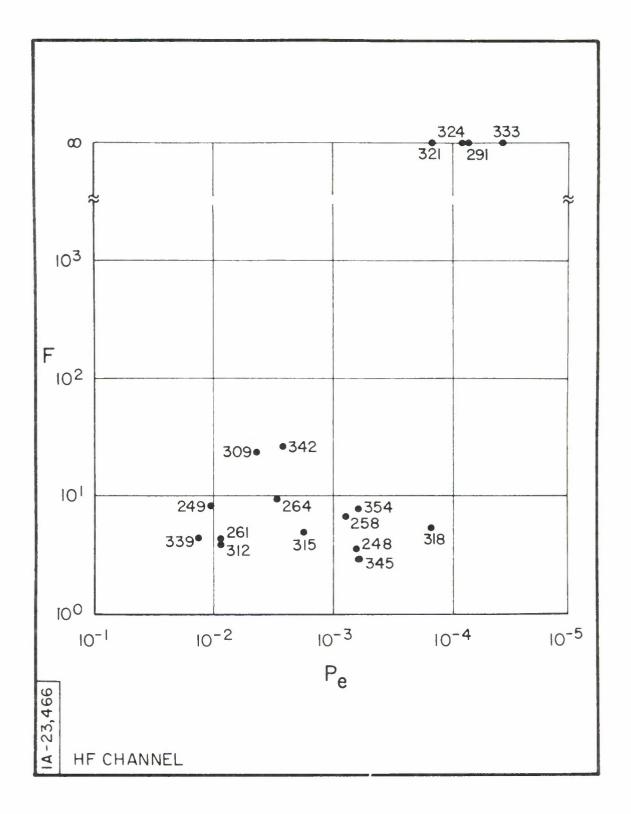


Figure 3 Simulation Test Results, B = 6 Improvement Factor (F) Versus Channel Average Bit Error-Rate ( $P_e$ )

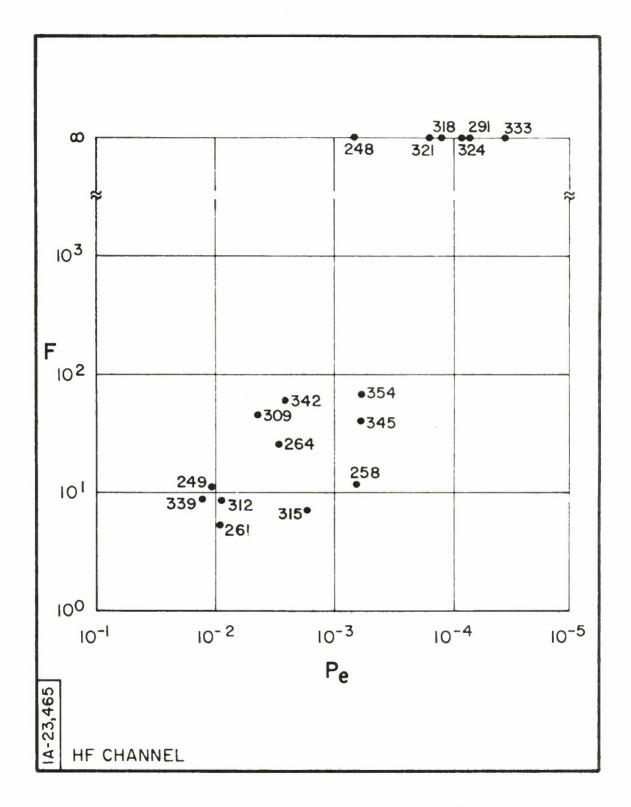


Figure  $^{\rm l}$  Simulation Test Results, B = 140 Improvement Factor (F) Versus Channel Average Bit Error-Rate (P\_e)

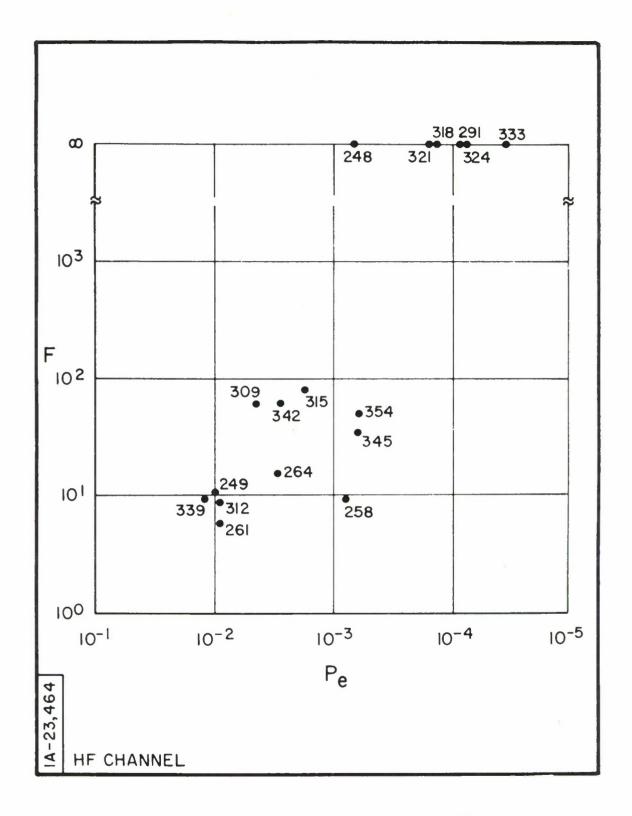


Figure 5 Simulation Test Results, B = 284
Improvement Factor (F) Versus Channel Average Bit Error-Rate (P<sub>e</sub>)

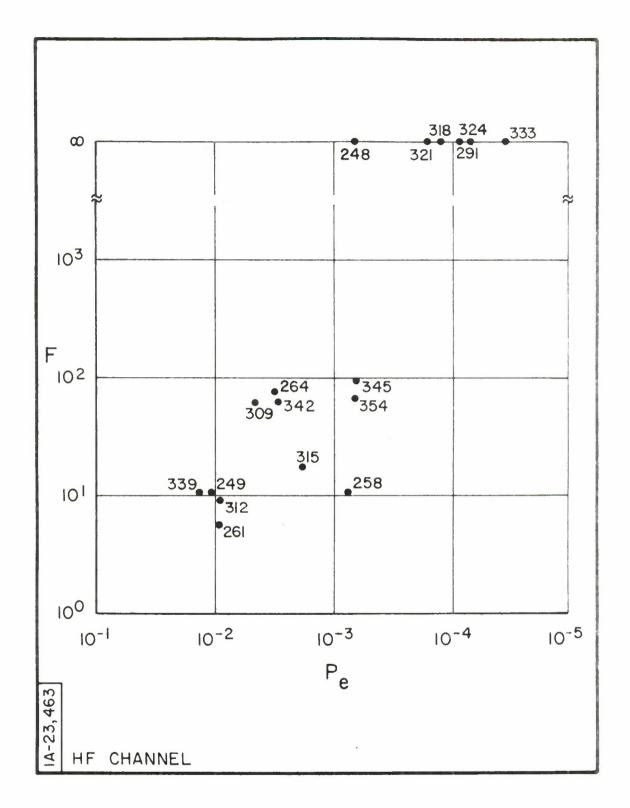


Figure 6 Simulation Test Results, B = 572 Improvement Factor (F) Versus Channel Average Bit Error-Rate (P<sub>e</sub>)

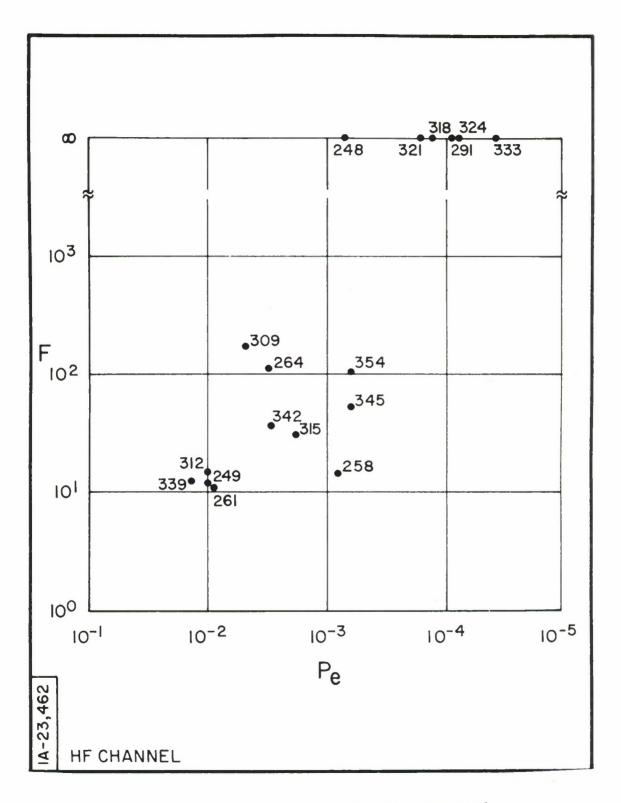


Figure 7 Simulation Test Results, B = 1196 Improvement Factor (F) Versus Channel Average Bit Error-Rate ( $P_e$ )

Table III

OUTPUT ERROR RATE AS A FUNCTION OF B IN THE TROPOSPHERIC CHANNEL

Run #	Input Error Rate	B=6	O B=144	utput Erro B=288	r Rate B=576	B=1200
60	1.2E-5	9.7E-6	1.4E-6	0	0	0
64	2.0E-5	3.6E-6	0	0	0	0
61	2.4E-5	1.3E-5	4.5E-6	0	0	0
56	2.7E-5	1.3E-5	1.5E-4	0	0	0
49	3-1E-5	1.8E-5	9.1E-6	5.9E-6	2.6E-6	0
42	4.4E-5	3.9E-5	0	0	0	0
41	5. 3E-5	4.6E-5	1.1E-6	2.2E-6	1.6E-6	0
33	6.2E-5	6. 1E-5	6.2E-5	4.5E-5	2.8E-5	2.2E-6
43	6.8E-5	6.7E-5	3.5E-5	2.9E-5	1.2E-5	9.7E-7
57	7.2E-5	2.6E-5	6.6E-6	4.3E-6	2.1E-6	0
30	7.9E-5	6.4E-5	2.8E-5	1.3E-5	2.0E-5	1.3E-5
51.	8.0E-5	4.3E-5	1.6E-5	1.3E-5	1.3E-5	0
70	8.0E-5	6.8E-5	2.0E-5	1.0E-6	4.0E-5	2.0E-5
69	8.4E-5	2.5E-5	7.9E-6	8.8E-7	0	0
46	9.8E-5	5.8E-5	2.5E-5	2.6E-5	1.4E-5	2.7E-6
62	1.0E-4	9.3E-5	7.1E-5	3.6E-5	8.3E-6	1.2E-5
63	1.0E-4	1.0E-4	6.0E-5	2.8E-5	1.2E-5	5.2E-7
53	1.1E-4	6.0E-5	5. 4E-5	5.2E-5	3.2E-5	9.2E-6
38	1.1E-4	6.9E-5	1.6E-5	1.1E-5	6.2E-7	0
40	1.4E-4	1.1E-4	5.2E-5	3.4E-5	2.9E-5	5. 3E-7
52	1.5E-4	3.1E-5	1.6E-5 5.8E-5	1.6E-5 3.8E-5	1.1E-5 2.4E-5	2.9E-7
71	1.6E-4	1.1E-4	6.0E-5	4.2E-5		1.9E-5 1.1E-5
55	1.7E-4	7.9E-5		1.6E-4	2.9E-5	
59	1.7E-4 2.0E-4	1.7E-4 1.1E-4	1.5E-4 3.4E-5	3.4E-5	1.6E-4 1.3E-5	8.4E-5 4.8E-6
39 37	2.0E-4	1.0E-4	5.7E-5	5.9E-5	2.6E-5	0
66	2.1E-4	2. 3E-4	2. 3E-4	1.1E-4	4.8E-5	3•7E-5
32	2.2E-4	2.5E-4	2.7E-4	2.8E-4	2.6E-4	9.5E-5
31	2.6E-4	2.3E-4	2.5E-4	2.0E-4	1.1E-4	8.0E-5
68	2.8E-4	1.1E-4	3. 3E-5	3.0E-5	2.3E-5	2.3E-5
36	3.0E-4	1.3E-4	9.6E-5	1.0E-4	7.4E-5	6.9E-5
65	3.7E-4	3-7E-4	1.9E-4	1.4E-4	9.4E-5	7.5E-5
48	4.OE-4	4.3E-4	5.6E-4	4.0E-4	9.1E-4	5. 1E-4
44	7.0E-4	5.9E-4	3.3E-4	2.9E-4	2.2E-4	2.1E-4
34	8.3E-4	8.7E-4	8.3E-4	7.9E-4	7.0E-4	3.6E-4
67	9.0E-4	1.0E-3	7.2E-4	6.2E-4	5-7E-4	5. 1E-5
35	9.3E-4	1.0E-3	1.1E-3	1.0E-3	8.9E-4	6.2E-4

Table III (Continued)

# OUTPUT ERROR RATE AS A FUNCTION OF B IN THE TROPOSPHERIC CHANNEL

Run#	Input Error Rate	B=6	0ւ B=144	itput Error B=288	Rate B=576	B=1200
47	1.1E-3	1.2E-3	1.2E-3	1.1E-3	1.0E-3	8.9E-4
50	1.2E-3	1.3E-3	1.2E-3	1.2E-3	1.5E-3	1.4E-3
58	2.2E-3	2.4E-3	2.4E-3	2.2E-3	1.9E-3	1.4E-3
45	2.4E-3	2.5E-3	4.7E-3	4.7E-3	4.7E-3	4.7E-3
54	4.0E-3	4.1E-3	4.1E-3	4.2E-3	3.8E-3	3.0E-3

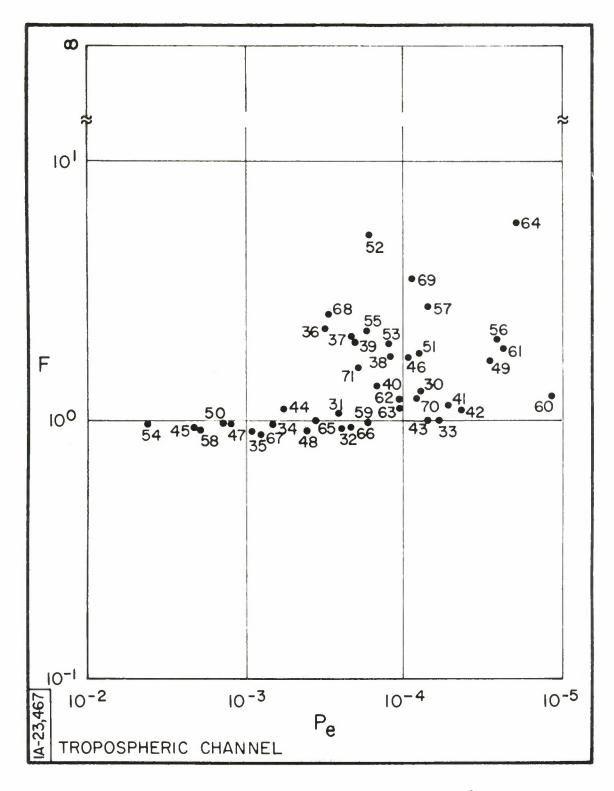


Figure 8 Simulation Test Results, B = 6 Improvement Factor (F) Versus Channel Average Bit Error-Rate ( $P_e$ )

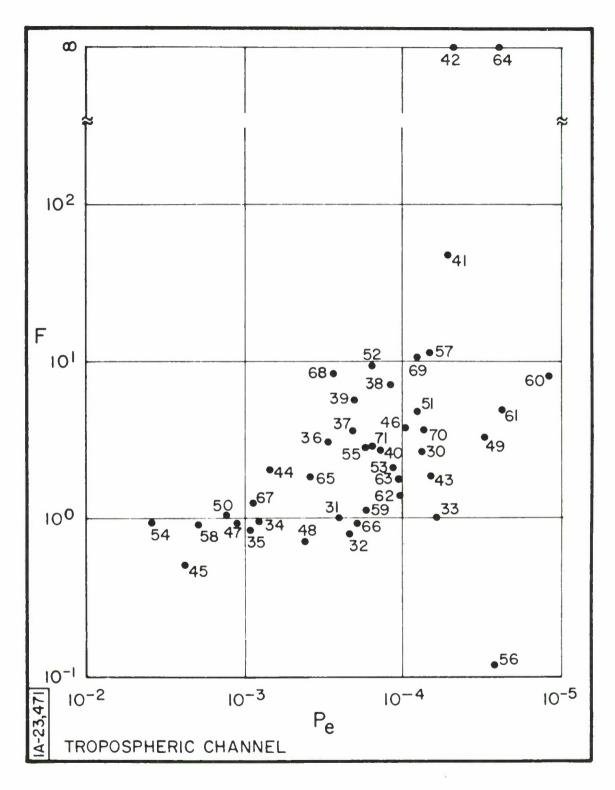


Figure 9 Simulation Test Results, B = 144 Improvement Factor (F) Versus Channel Average Bit Error-Rate ( $P_e$ )

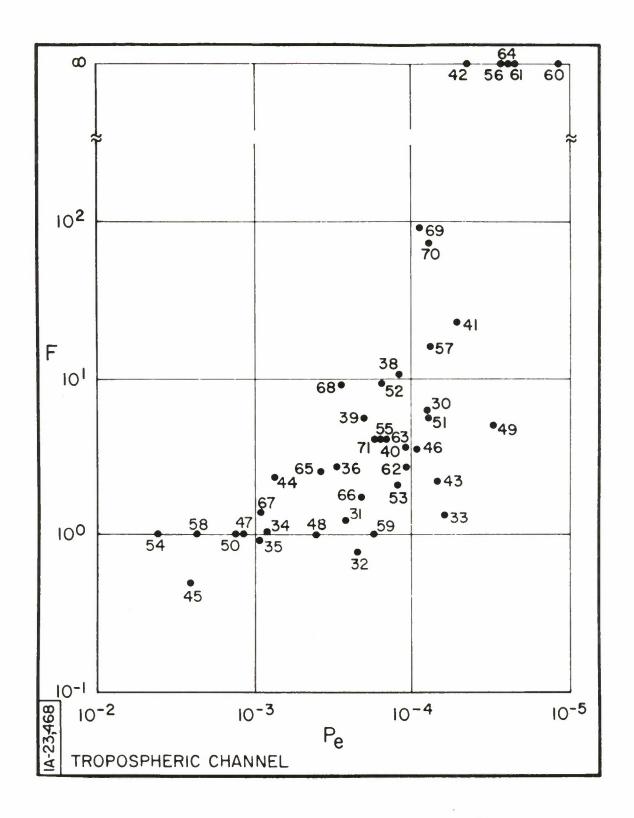


Figure 10 Simulation Test Results, B = 288 Improvement Factor (F) Versus Channel Average Bit Error-Rate ( $P_e$ )

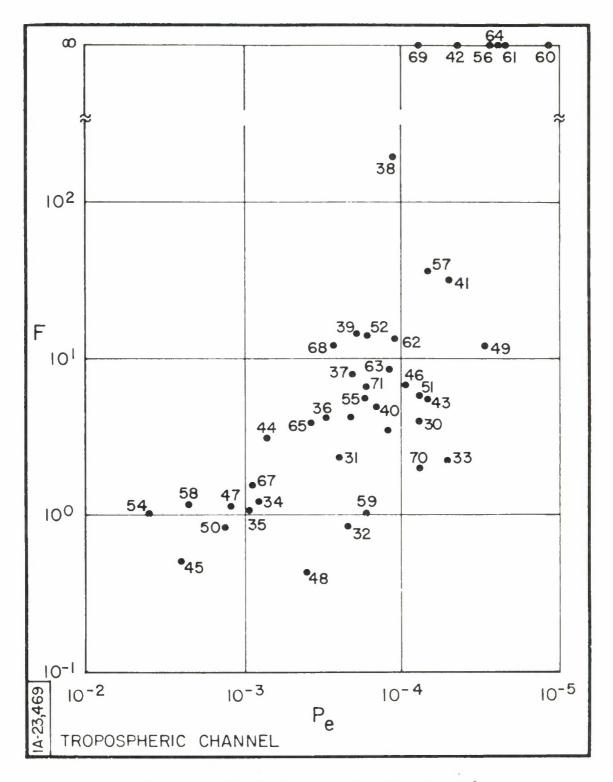


Figure 11 Simulation Test Results, B = 576 Improvement Factor (F) Versus Channel Average Bit Error-Rate (P<sub>e</sub>)

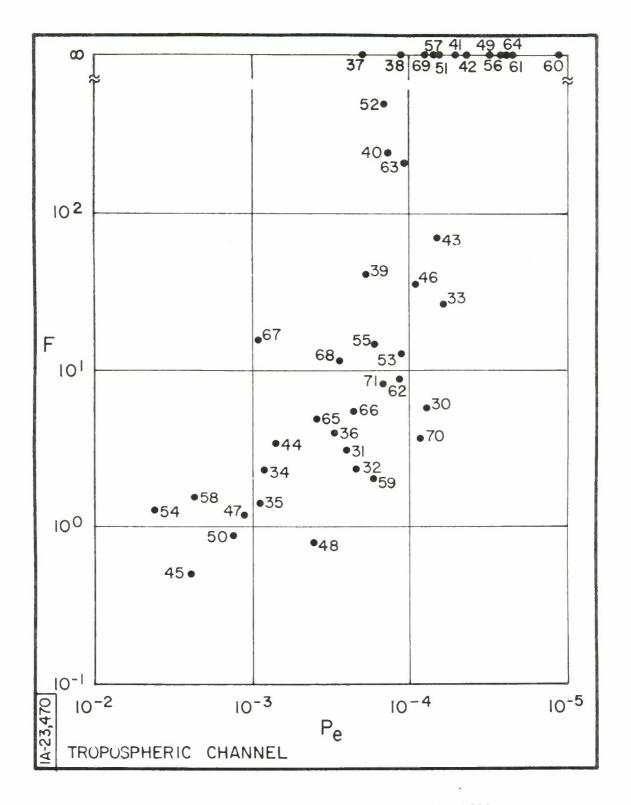


Figure 12 Simulation Test Results, B = 1200 Improvement Factor (F) Versus Channel Average Bit Error-Rate ( $P_e$ )

with no periodic errors, there was no need to specially adjust the values of B. It is obvious from the scatter diagrams that the value B=6 was insufficient for the long dense bursts in that improvement was less than one order of magnitude in error rate and on many runs  $P_c > P_e$  (alternately F < 1). However, as B increases performance likewise increases, and when B reaches 1200 (3 sec delay) only three runs show F < 1 while the other runs have up to three orders of magnitude improvement with twelve runs completely corrected. It is obvious from the scatter diagram that this trend would continue if B were further increased.

### Cumulative Performance Curves

A common method for presenting the performance of a communication system in which errors occur is to present the percent of channel data intervals for which the error rate is better than a particular value of error rate. This can be done for a coded system, and the curves can then be compared to the uncoded channel. In order to properly compare decoded to uncoded performance, the decoded interval length must be that length which contains the information portion of the corresponding channel data interval.

Thus,

These results are presented in Figures 13 and 14 for the HF and Tropospheric data respectively.

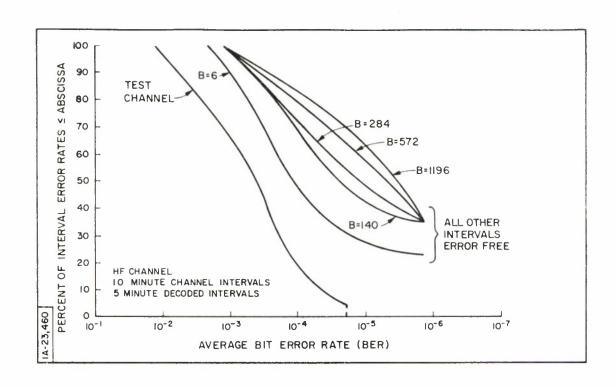


Figure 13 Cumulative Performance of Massey Code in HF Channel

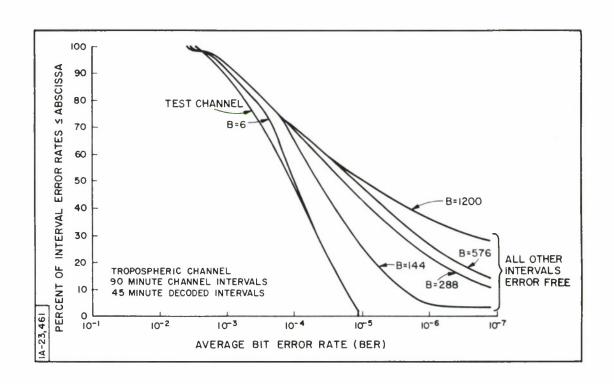


Figure 14 Cumulative Performance of Massey Code in Tropospheric Channel

#### SECTION III

#### CONVOLUTIONAL CODE ERROR PROPAGATION

It is known from the work of Massey that convolutional codes, when they fail, propagate errors. Further, at some stage in the operation of the decoder it is no longer necessary for channel errors to occur in order to have the propagation continue. This is called infinite propagation. An advantage of a computer simulation is that it is possible to tag the channel errors and follow them through the decoder. By doing this, it is possible to determine which errors were not corrected, what caused any additional output errors, and whether or not infinite propagation occurred. In Tables IV and V the percent of output errors that are uncorrected input errors for the HF data and tropospheric data respectively is presented. All of the remaining errors are generated errors with no direct counterpart in the channel error patterns. The generated errors were compared to the source error table, and it was found that in all cases the generated error was within a distance B of the source channel error. Thus, error generation occurred when a burst affected a coded unit of 3B+2 information bits, and there was no spillover beyond the code length. An alternative way of stating this is that if errors occurred in three of the four coded information bits, an error was generated into the fourth bit which due to the diffusion of the code occurred B bits later in time. There was no infinite propagation observed. In fact a proof [1] exists that infinite propagation cannot occur for this particular code structure.

 $\label{two} \mbox{\ensuremath{\mbox{\sc Table IV}}}$  % OF OUTPUT ERRORS THAT ARE UNCORRECTED INPUT ERRORS HF DATA RUNS

Table V
% OF OUTPUT ERRORS THAT ARE UNCORRECTED INPUT ERRORS
TROPO DATA RUNS

Run Number	B=6	B-144	B=288	B=576	B=1200
604169213337057694665338021559976833866584436757585545	64 66 66 66 67 67 67 67 67 67 67 67 67 67	75-6302-5086717562887662184455866876368837789563676324	  71 -5158  71 -51658  7657  7658  7657  7658  7657  7658  76657  7658  76657  76677  76777  76677	  57 100 76 54 55 55 1 - 62 67 59 62 50 53 33 55 76 86 38 66 56 56 66 36 56 66 37 63 44 66 66 66 66 66 66 66 66 66 66 66 66	            

28

#### SECTION IV

### COMPARISON OF THE CONVOLUTIONAL TECHNIQUE TO CYCLIC CODING

Since there is a great deal of knowledge on the performance of the (24, 12, 3) cyclic Golay code on the measured channels [2, 5] an idea of the significance of the results for the Massey code can be obtained by finding the performance of the Golay code on the test data for the same amount of delay used with the Massey code. The (24, 12, 3) code can correct 3 random errors in 24 bits at code rate 1/2. The code will be interleaved by coding together bits spaced m bits apart to obtain a code word. The total delay introduced by this technique is 24 m/1200 seconds. If m = 150 then the delay is 3 seconds and a total interleaved code block contains  $24 \times 150 = 3600$ bits. Generated errors can occur if there are more than three errors in a code word and will occur within that code word with no overflow from one code block to another. Thus, whatever generated errors do occur will be constructed in the same way that the generated errors of the Massey code, in fact, occurred. The performance of the (24, 12, 3) code was found by simulation [6] for a delay of three seconds (m = 150). The performance of the code on the HF data was found previously [5] and will not be reproduced here. Comparison to the previously presented results indicates that the Massey diffuse convolutional code performes as well as the Golay code. The results found with the tropospheric data and presented in Table VI and Figure 15 are far different. For this channel the performance of

Table VI
PERFORMANCE OF GOLAY CODE ON
TROPOSPHERIC CHANNEL

Run #	Output BER	F	Run #	Output BER	F
60 64 56 49 42 41 33 43 57 30 51 69 66 63 53 40 52	2.14E-5 3.00E-7 3.00E-7 1.40E-5 3.00E-7 2.15E-6 1.94E-4 3.00E-4 3.00E-7 1.40E-5 4.00E-5 1.15E-6 2.15E-6 4.00E-6 1.20E-5 6.20E-7 3.30E-5 1.15E-6	0.5 66.6 80 1.9 103 146 24.6 .31 226 240 5.6 2 69.5 16.8 45.5 25 8.3 177 3.3 12.7	71 55 59 37 66 32 31 68 36 48 44 37 50 58 54 54	2.00E-5 3.00E-7 2.97E-4 6.51E-4 1.08E-3 1.25E-4 1.75E-4 8.50E-5 3.00E-7 7.00E-5 1.50E-4 5.86E-4 1.61E-4 2.40E-5 7.43E-4 5.35E-4 1.27E-3 2.39E-3 3.00E-6 4.95E-3 1.39E-3	8 566 0.5 .3 .18 1.6 1.2 3.0 993 4.2 2.4 .68 4.3 34.5 1.7 .86 0.5 73.3 2.8

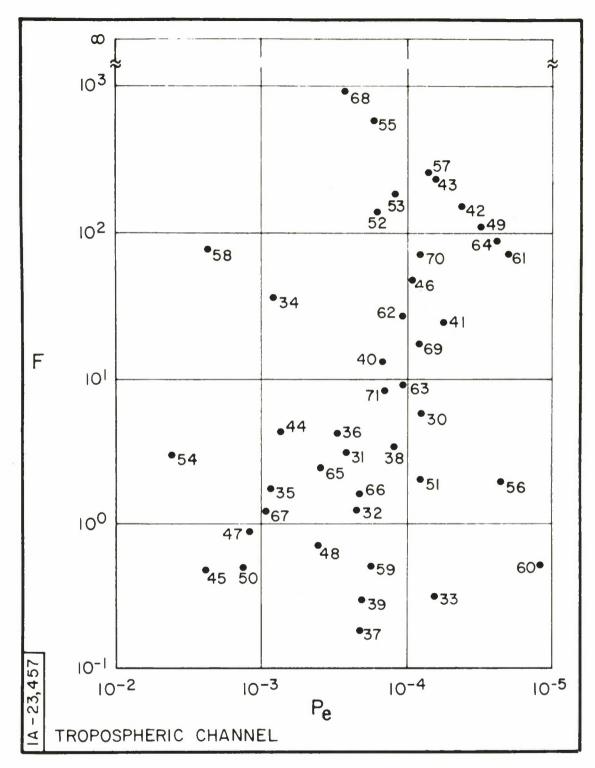


Figure 15 Simulation Test Results of Interleaved Cyclic Code with Three Seconds Delay Improvement Factor (F) Versus Channel Average Bit Error-Rate ( $P_{\rm e}$ )

the Massey diffuse convolutional code is substantially superior to that of the Golay. The Massey code achieved a zero output error rate on 12 of the 42 runs while the Golay code did not achieve a zero output error rate on any of the runs. The Massey code demonstrated overall error generation (F < 1) on 3 of the 42 runs while the Golay code did this on 9 of the 42 runs. In Figure 16 a comparison is made between the cumulative performance of the Massey diffuse code with B = 1200 (3 sec. delay) and the interleaved cyclic Golay code with the same delay.

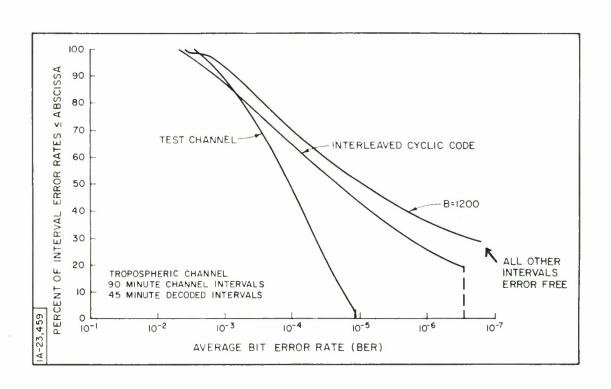


Figure 16 Comparative Performance of Massey and Cyclic Codes for Equal Delay

#### SECTION V

#### CONCLUSIONS

The objective of this paper has been to obtain the performance of a Massey diffuse convolutional code on actual measured HF and tropospheric error patterns. Based on the data, three general conclusions can be reached.

- Improvement increases with delay with no upper bound on performance within the range of delays considered
   (Delay ≤ 3 sec.).
- 2) Error generation occurs over a limited distance from the excessive burst of errors causing the generation.
- 3) a) On the HF data the Massey code performance is as good as that of the Golay code.
  - b) On the Tropospheric data the Massey code performance is superior to that of the Golay code.

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(Security classification of title, body of abstract an originating activity (Corporate author)  The MITRE Corporation	nd indexing annotation must be e	20. REPORT 5	e overall report is classified) ECURITY CLASSIFICATION UNCLASSIFIED		
Bedford, Massachusetts		2h. GROUP	N/A		
THE PERFORMANCE OF A SIMULA HF AND TROPOSPHERIC DATA CHA	ANNELS	AL DECO	DER ON MEASURED		
N/A	.,				
Kenneth Brayer					
January 1968	78. TOTAL NO. 01	F PAGES	76. NO. OF REFS		
88. CONTRACT OR GRANT NO.	98. ORIGINATOR*S	REPORT NUM	IBER(S)		
AF 19(628)-5165	ES	ESD-TR-67-423			
705B	this report)	THER REPORT NO(S) (Any other numbers that may be assigned is report)  MTR-528			
This document has been approved fo unlimited.	r public release and s	sale; its di	istribution is		
N/A		Instrument Systems D	tation Program Office, ivision, L.G. Hanscom		
A Massey diffuse convolutions and used to decode binary digital enter HF radio circuit and on a multiple scatter path. It was found that the cyclic code simulations on HF radio path.	rror patterns measur link data circuit domi simulation performed	ed at 2400 inated by a d at least a	bits/sec on an tropospheric as well as previous		

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14 KEY WORDS		LINK A		LINK B		LINKC	
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SYSTEMS AND MECHANISMS  Data Transmission Systems  Multichannel Radio Systems  Voice Communication (HF and T	ropo) Systems						
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